PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/972.112 TRANSMITTAL Filing Date 10/04/2001 **FORM** First Named Inventor Dorros Art Unit (to be used for all correspondence after initial filing) 3731 **Examiner Name** Nguyen, V. Attorney Docket Number 21 AMS-011C Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board 1 Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC • Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): RCE, Amendment Calculation Sheet, Request for Refund **Express Abandonment Request** Return Request Postcard, & Check # 154956 CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority RECEIVED
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Attorney Docket Number

AMS-011C

EE TRANSMITTAL Complete if Known ENT & TRAIN 09/972,112 Application Number for FY 2004 10/04/2001 Filing Date Effective 10/01/2003. Patent fees are subject to annual revision. First Named Inventor Dorros Nguyen, Vi X Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3731

TOTAL AMOUNT OF PAYMENT \$ 995.00

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES							
Order		Large Entity Small Entity		Entity				
Deposit Account:	Fee	Fee	Fee	Fee	Fee Description			
Deposit Account 50-2298	Code	(\$)	Code	(\$)	Fee Paid			
Number	1051	130	2051	65	Surcharge – late filing fee or oath			
	1052	50	2052	25	Surcharge – late provisional filing fee or			
Deposit Account Luce, Forward, Hamilton & Scripps LLP	1053	130	1053	130	cover sheet Non-English specification			
Name		2,520	1812	2,520	For filing a request for ex parte			
		·			reexamination			
The Director is authorized to: (check all that apply)		920*	1804	920*	Requesting publication of SIR prior to			
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) or any underpayment of fee(s)		1,840*	1805	1,840*	Examiner action Requesting publication of SIR after			
☐ Charge fee(s) indicated below, except for the filing fee	1805	.,		.,	Examiner action			
to the above-identified deposit account.	1251	110	2251	55				
	1252 1253	420 950	2252 2253	210 475	Extension for reply within second month  Extension for reply within third month  475.00			
	1254	1,480	2254	740	Extension for reply within fourth month			
FEE CALCULATION	1255	2,010	2255	1,005	Extension for reply within fifth month			
1. BASIC FILING FEE	1401	330	2401	165	Notice of Appeal			
Large Entity   Small Entity	1402	330	2402	165	Filing a brief in support of an appeal			
Fee Fee Fee <u>Fee Description</u> Fee Paid	1403	290	2403	145	Request for oral hearing			
Code         (\$)         Code         (\$)           1001         770         2001         385         Utility filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1002 340 2002 170 Design filing fee	1452	110	2452	55	Petition to revive – unavoidable			
1003 530 2003 265 Plant filing fee	1453	1,330	2453	665	Petition to revive – unintentional			
1004 770 2004 385 Reissue filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)			
1005 160 2005 80 Provisional filing fee	1502 1503	480 640	2502 2503	240 320	Design issue fee Plant issue fee			
SUBTOTAL (1) (\$)	1460	130	1460	130	Petitions to the Commissioner			
(,,	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
	1806	180	1806	180	Submission of Information Disclosure			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					Stmt.			
Fee from Extra Claims below Fee Paid	8021	40	8021	40	Recording each patent assignment per			
Extra Claims below Fee Paid  Total Claims -20**= 2 x =	1809	770	2809	385	property (times number of properties) Filing a submission after final rejection			
Independent	1000	770	2003	000	(37 CFR 1.129(a))			
Claims -3**= 0 x =	1810	770	2810	385	For each additional invention to be			
Multiple Dependent					examined (37 CFR 1.129(b))			
Land Forth L. Ourell Forth	1801	770	2801	385	Request for Continued Examination (RCE) 385.00			
Large Entity   Small Entity   Fee Fee Fee Fee Fee Description	1802	900	1802	900	Request for expedited examination of a design application			
Code (\$) Code (\$)					design application			
1202 18 2202 9 Claims in excess of 20	Other fee (specify) Additional Claim Fees RECEIVED 135.00							
1201   86   2201   43   Independent claims in excess of 3   1203   290   2203   145   Multiple dependent claim, if not paid	*Poduc							
1204 86 2204 43 **Reissue independent claims over	*Reduced by Basic Filing Fee Paid JUL 0 7 2004							
original patent					2 0 1 2007			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent					TECHNOLOGY CENTER R3700			
1 Over original paterix								
SUBTOTAL (2) (\$)		SUBTOTAL (3) (\$) 995.00						
**or number previously paid, if greater; For Reissues, see above								
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Name (Print/Type) Nicola A. Pisano Registration No. (Attorney/Agent) 34,408 Telephone 858-720-6320								
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n re application of:

Dorros et al.

Serial No.:

09/972,112

Filed:

10/04/2001

Examiner:

Nguyen, V.

For:

APPARATUS AND METHOD FOR TREATING STROKE AND

CONTROLLING CEREBRAL FLOW CHARACTERISTICS

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(1)	(2)	(3)	(4) (5)		(6)	(7)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	35	minus	20	15	x \$9	\$ 135.00
INDEPENDANT CLAIMS	2	minus	4	0	x \$43	\$ 0.00
MULTIPLE DEPENDANT CLAIMS					\$ 280	\$ 0.00
	\$ 135.00					

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Nicola A. Pisano Attorney of Record Reg. No. 34,408

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